

Resolution and circular dichroism of an asymmetrically cage-opened [60]fullerene derivative

Jan C. Hummelen,^{*a} Majid Keshavarz-K,^b Joost L. J. van Dongen,^c René A. J. Janssen,^c E. W. Meijer^c and Fred Wudl^b

^a Department of Organic and Molecular Inorganic Chemistry, University of Groningen, Nijenborgh 4, 9747 AG Groningen, The Netherlands

^b Institute for Polymers and Organic Solids, University of California at Santa Barbara, Santa Barbara, CA 93106, USA

^c Laboratory of Organic Chemistry, Eindhoven University of Technology, 5600 MB Eindhoven, The Netherlands

The enantiomers of the first cagewise *inherently* asymmetric C₆₀ derivative, *i.e.* N-MEM (MEM = 2-methoxyethoxy-methyl) keto lactam **1**, have been separated by HPLC and their chiroptical properties compared with data obtained from C₆₀ derivatives that are dissymmetric or asymmetric due to chiral addends.

N-MEM keto lactam **1** (Fig. 1) is the first example of a well-defined open-cage derivative of C₆₀.¹ It is obtained from C₆₀ in two synthetic steps, and (±)-**1** serves as the key intermediate in the synthesis of azafullerenes, *e.g.* (C₅₉N)₂,² C₅₉NH³ and K₆C₅₉N.⁴ Stereochemically, **1** is an interesting molecule, because it can be regarded as a (highly symmetrical) sphere with a chiral orifice. A larger version could be envisioned as a chiral selector, operating by enantioselective endohedral complexation.

Various chiral C₆₀ derivatives have been prepared thus far.^{5,6} Chirality can be introduced into C₆₀ derivatives *via* chiral addends, either asymmetric^{7,8} or C₂-symmetric,⁹ as well as *via* an inherently asymmetric addition or substitution pattern, such as in 2:1 adducts with C₂-symmetry.^{10,11} Higher fullerenes and carbon nanotubes can be inherently chiral.¹² C₂C₇₆, D₃C₇₈ and D₂C₈₀ have been obtained in enantiomerically pure form *via* kinetic resolution by asymmetric osmylation, providing optically active forms of carbon.¹³ Since keto lactam **1** is the first open-cage C₆₀ derivative with an inherently asymmetric cage functionalization pattern, it is of interest to determine a possible resemblance of the chiroptical properties of **1** with those of the chirally modified C₆₀ compounds. Here we present the successful resolution of (±)-**1** and the chiroptical properties of the individual enantiomers.

Chromatography of racemic **1** as a 0.3 mg ml⁻¹ solution in toluene–1,2-dichlorobenzene (2:1 v/v) on an analytical chiral stationary phase HPLC column [Bakerbond Pirkle Type DNBPG 5 μm (4.6 × 250 mm)], using *n*-hexane–CHCl₃–PrⁱOH (70:30:1 v/v/v) as the eluent (flow rate 1 ml min⁻¹) and UV detection at λ = 328 nm, gave enough separation to yield the enantiomers in 80 and 92% ee (as inferred from a second HPLC experiment, Fig. 2), respectively. The two fractions were

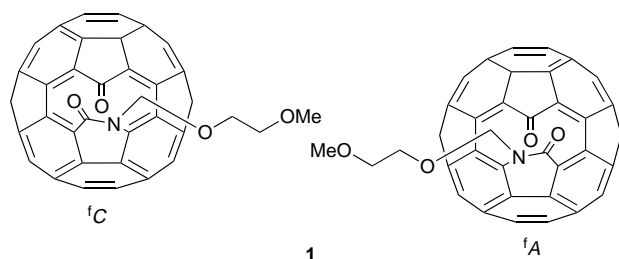


Fig. 1 Molecular structure of the two enantiomeric forms of **1** with configurational description ¹C and ¹A according to ref. 6

assigned to (+)-**1** and (–)-**1**, respectively, by determining their specific rotations at 589 nm.

The UV–VIS spectrum of (±)-**1**, the circular dichroism (CD) spectrum and the resulting *g* value ($g = \Delta\epsilon/\epsilon \approx \Delta A/A$) of (+)-**1** are shown in Fig. 3. The CD spectrum of (–)-**1** was found to have a mirror image relation to that of (+)-**1**. Similar to the UV–VIS absorption, the CD spectrum of (+)-**1** extends throughout the 200–700 nm spectral range. The strongest Cotton effect is found at λ = 325 nm ($\Delta\epsilon = +29 \text{ M}^{-1} \text{ cm}^{-1}$), coinciding with the lowest energy dipole-allowed transition of **1** (λ = 328 nm). Comparison with, for example, (+)-hexahelicene, which has a *g* value of $+7.0 \times 10^{-3}$ at λ = 325 nm for the π–π* transition, shows however that the *g* value of (+)-**1** ($g_{325} = +6.4 \times 10^{-4}$) is an order of magnitude lower and represents a typical value for a noninherently dissymmetric chromophore.¹⁴ Various chirally modified [6,6]-dihydrofullerene derivatives show a diagnostic CD band at about λ = 430 nm, whose sign has been taken as an indicator for the absolute configuration.^{8,15–17} For (+)-**1**, a local extreme in the CD spectrum is found at λ = 426 nm, where the Cotton effect is negative. Tentatively applying the sector rule of Wilson *et al.* to **1** suggests that (+)-**1** corresponds to the ¹A configuration (Fig. 1).¹⁵ The largest *g* values of (+)-**1** are found in the wavelength range λ = 600–700 nm, with a maximum of $g = -1.7 \times 10^{-2}$ at 659 nm, a typical value for a magnetically-allowed dipole-forbidden transition.

In summary, we have separated the two enantiomers of the C₆₀ derivative (±)-**1** using chiral HPLC, providing a first example of an open-cage fullerene with a chiral orifice. The inherently chiral functionalization of the eleven-membered ring causes a dissymmetric perturbation on the π system of the fullerene, resulting in optical activity extending over the entire

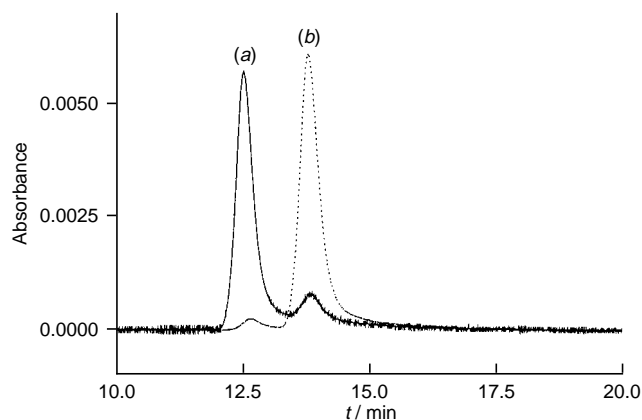


Fig. 2 Chiral HPLC chromatogram of separated samples of (a) (+)-**1** and (b) (–)-**1** (Bakerbond Pirkle Type DNBPG, eluent: *n*-hexane–CHCl₃–PrⁱOH (70:30:1 v/v/v), flow rate 1 ml min⁻¹, UV detection at λ = 328 nm)

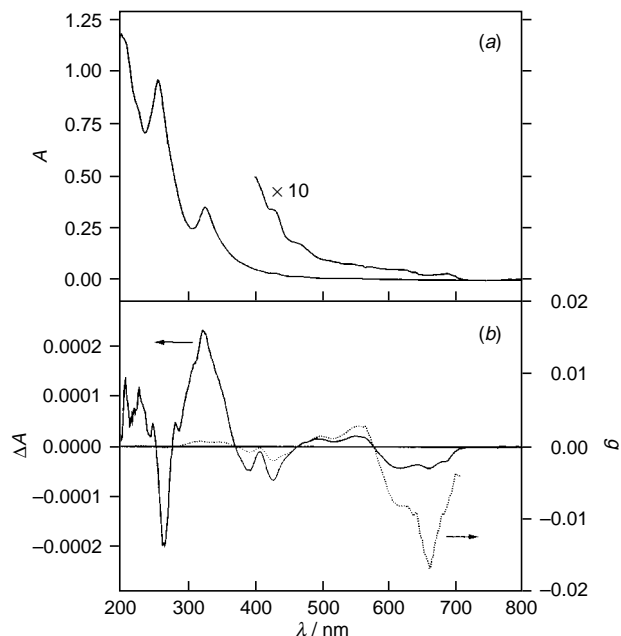


Fig. 3 (a) UV–VIS absorption spectrum of (±)-**1** ($c = 7.6 \times 10^{-6}$ M) [$\lambda_{\text{max}}/\text{nm} = 204$ ($\epsilon = 1.5 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$, *n*-hexane), 260 (1.2×10^5 , CHCl_3), 328 (4.5×10^4 , CHCl_3), 428 (4.7×10^3 , CHCl_3) and 688 (4.2×10^2 , CHCl_3)]. (b) CD spectra (left axis) and *g* value (right axis) of (+)-**1** ($c = 7.6 \times 10^{-6}$ M). The UV–VIS and CD spectra were recorded using a solution in *n*-hexane for the region $\lambda = 200\text{--}248$ nm and in CHCl_3 for the region $\lambda = 248\text{--}800$ nm. The CHCl_3 spectra were recorded at higher concentrations and mathematically scaled to the spectrum in *n*-hexane for representation.

absorption spectrum, with high *g* values in the low-energy region.

Dedicated to Professor Dr Hans Wynberg on the occasion of his 75th birthday on 28th November 1997.

Footnote and References

* E-mail: j.c.hummelen@chem.rug.nl

- J. C. Hummelen, M. Prato and F. Wudl, *J. Am. Chem. Soc.*, 1995, **117**, 7003.
- J. C. Hummelen, B. Knight, J. Pavlovich, R. González and F. Wudl, *Science*, 1995, **269**, 1554.
- M. Keshavarz-K, R. González, R. G. Hicks, G. Srdanov, V. I. Srdanov, T. G. Collins, J. C. Hummelen, C. Bellavia-Lund, J. Pavlovich, F. Wudl and K. Holczer, *Nature*, 1996, **383**, 147.
- K. Prassides, M. Keshavarz-K, J. C. Hummelen, W. Andreoni, P. Giannozzi, E. Beer, C. Bellavia, L. Cristofolini, R. González, A. Lappas, Y. Murata, M. Malecky, V. I. Srdanov and F. Wudl, *Science*, 1996, **271**, 1833.
- F. Diederich, C. Thilgen and A. Herrmann, *Nachr. Chem. Tech. Lab.*, 1996, **44**, 9.
- C. Thilgen, A. Herrmann and F. Diederich, *Helv. Chim. Acta*, 1997, **80**, 183.
- A. Vasella, P. Uhlmann, C. A. A. Waldruff, F. Diederich and C. Thilgen, *Angew. Chem.*, 1992, **104**, 1388.
- A. Bianco, M. Maggini, G. Scorrano, C. Toniolo, G. Marconi, C. Villani and M. Prato, *J. Am. Chem. Soc.*, 1996, **118**, 4072.
- M. Maggini, G. Scorrano, A. Bianco, C. Toniolo and M. Prato, *Tetrahedron Lett.*, 1995, **36**, 2845.
- J. M. Hawkins, A. Meyer and M. Nambu, *J. Am. Chem. Soc.*, 1993, **115**, 9844.
- A. Hirsch, I. Lamparth and H. R. Karfunkel, *Angew. Chem.*, 1994, **106**, 453.
- F. Diederich and R. L. Whetten, *Acc. Chem. Res.*, 1992, **25**, 119; S. Iijima, *Nature*, 1991, **354**, 56.
- J. M. Hawkins and A. Meyer, *Science*, 1993, **260**, 1918; J. M. Hawkins, M. Nambu and A. Meyer, *J. Am. Chem. Soc.*, 1994, **116**, 7642.
- M. S. Newman, R. S. Darlak and L. Tsai, *J. Am. Chem. Soc.*, 1967, **89**, 6191.
- S. R. Wilson, Q. Lu, J. Cao, Y. Wu, C. J. Welch and D. I. Schuster, *Tetrahedron*, 1996, **52**, 5131.
- M. Maggini, G. Scorrano, A. Bianco, C. Toniolo, R. P. Sijbesma, F. Wudl and M. Prato, *J. Chem. Soc., Chem. Commun.*, 1994, 305.
- F. Novello, M. Prato, T. Da Ros, M. De Amici, A. Bianco, C. Toniolo and M. Maggini, *Chem. Commun.*, 1996, 903.

Received in Cambridge, UK, 8th October 1997; 7/07274K